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SECRET (When Filled In)

AUTHORIZATION TO EXPEND FUNDS FROM OTHER COMPONENTS ALLOTMENTS				DATE	
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TO AGSISTON	t Director, OSA-DD/S8	LT .			
ATTN : (A) Chic (B) Chic	er, Budget & Finance er, Contracts Divisio for Covert Contract	Branch, on, OGA-D	CSA-DD/S&T D/S&T		
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REFERENCE:					
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FORM

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Research and Development Project Approval Request Memorent to DDC!
for approval
4 May 1964.

I. Identification

	The proposed project, designated "Research on Photo Interpreter Performance,
	would follow up a pilot study on "Photographic Image Recognition as a Function of
	Ground Resolution" which was conducted by
•	and NPIC, to prove that information from this kind of experimental research is
	meaningful and useful to the photographic intelligence process. The proposed
	effort, endorsed by DD/S&T on merit of the successful pilot study would be
25X1	funded by NPIC would make use of NPIC facilities and experimental
25 X 4	subjects, and would be of joint interest to DD/S&T and NPIC.
	This project is provided at the provided at
	This project is provided for in the NPIC's FY64 financial plan at the
0574	level under two categories of Object Class 700: "Viewers and Other
25X I 25X I	Photo Interpretation Equipment" and "Special Techniques and Development Studies" The remaining funds are available as a result of
20/(1	deferment of other projects for further investigation.
	assessment of coner projects for further investigation.
	II. Objectives

In extending the findings of the pilot study, the proposed program would investigate three principal and basic areas of concern. Objectives would consequently be:

- A. To determine the relation between P.I. performance and ground resolution of photography.
- B. To assess the effects of stereo image viewing (as opposed to monocular viewing) as well as the effect of mixed-resolution stereo pairs on P.I. performance.
- C. To investigate the effects of color photography on P.I. performance.

Findings will supply objective measurements which will serve to aid in the development and use of collection systems and exploitation equipment.

III. Background

In NPIC's particular intelligence effort, "man" -- the photo interpreter -is the key element and yet he remains the most unknown factor in the total picture.

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There are proven techniques for attaching numbers to systems capability (currently in terms of "modulation transfer function"); but there is no means, to date, of quantitatively accounting for or predicting or enhancing human performance as it relates to the quality and kinds of materials available in the interpretation task. This vagueness inhibits our development programs.

In general terms, we want first to ask ourselves what threshold of quality is incontravertibly set by accountable human factors? What degree of image quality is really needed for specific targets (for this will vary); and exactly what details do we want to be able to see in various targets? When do stereo and color, for instance, provide more information to the human visual system?

At long last, a value could be put on the direct benefits of resolution, stereo and color:

- A. Results from the resolution study will aid in assessing the relative intelligence worth of various collection systems which yield different ground resolutions. This objective, in a skeletal version, formed the basis of the pilot study, but would now be specifically correlated with types and priorities of targets suggested by the operational components cooperating in the study. The resolution study will establish a benchmark from which effects of other physical factors can be measured.
- B. From an investigation of stereo, NPIC would finally have in its hands some relative numbers for evaluating (or justifying) stereo as it directly contributes to identifying specific kinds of targets.
- C. The study on color will include investigations of P.I. performance with monocular color images, of stereo images in which (1) both images are in color and (2) one image is in color and the other is in black & white. Variations in ground resolution will be introduced for both the monocular and stereo images. Results will be useful in deciding and detailing the roles that color might, with some degree of assurance, take in collection and exploitation.

In what is a relatively unexplored area, the first facts and findings will be necessarily basic and therefore important, with many side benefits.

Additional studies may be carried on simultaneously -- as they have an effect upon the overall investigation. For example: experiments at Harvard University's Center for Cognitive Studies suggest that image identification of degraded images can be strongly influenced by pre-conceptions, such as the sort of pre-conception a P.I. might unconsciously have gained in his own specialization (of target-type). Called "response perseveration," it can decidedly interfere with recognition. It also encourages a person's first notion about lower-grade information to stick in his mind, obstructing other alternatives. Findings from such research will be applied to the proposed

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project and, in this case, might be incorporated as multiple-choice testing techniques. Further exploration and application might prescribe a scheme, for instance, of presenting collateral information to the P.I. and of assigning (or rotating) tasks for the most objective interpretation possible.

Another obvious offshoot is an investigation of basic aptitudes, background and personality traits which are essential to the successfully performing P.I.

Other factors of contiguous or future concern are:

- 1. contrast and brightness range
- 2. granularity

4. obliquity

- sun altitude and azimuth 3.
- 7. scene change detection
- 8. season/terrain
- 9. searching and viewing time
- 10. viewing equipment/scale
- ll. collateral information
- 12. individual P.I. differences

Technical Specifications IV.

All findings and figures will be submitted in report form and will also be represented in graphs. Graphs, covering all important target-types, will 25X1 plot ground resolution ___ against target identification. Curves for the same target-type will contrast stereo with monoscopic viewing and color with black & white.

With the help of operational components a survey of targets of interest (which are available within the U.S.) was designated. Photography should include:

- 1. Missiles (ICBM)
- 2. Electronics (antennae)
- 3. Military (air fields and submarine sites, with nuclear facilities)
- 4. Storage (military, ammo, BW/CW)
- 5. Industry
- 6. Transportation (land, sea, ports and harbors)
- 7. Power

For the following reasons, actual mission photography is unsuitable for the project and special flights must be flown: (1) techniques for simulating scale and resolution of photography require known and exact processing parameters so that accurate transfer function can be determined. (2) Images must be selected by specific recognition characteristics for purposes of testing. (3) For purposes of correlation, color photography must be identical to black & white photography and consequently must be shot at the exact same time.

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However, as a doublecheck and also in the process of specifying sites to be photographed, the researchers will review Air Force reconnaissance (data) test flights. If material is suitable, it will be used. It is probably from this source that materials will be obtained for pretests, the purpose of which is to determine meaningful alternatives for mutiple-choice answers.

The OSA/Air Force will be responsible for providing the aircraft, cameras, and original film-processing necessary for collecting the photography. In the event that ground targets are required, the sponsor will make the necessary arrangements.

Since simulation techniques will be used for degrading resolution, the initial photography must be of high quality Photography must also cover a long strip of terrain at least $\frac{1}{2}$ mile wide. It must provide at least 60% overlap between frames. Targets must be covered in both color and black & white. Further specifications have been discussed with the proposed contractors, and there is leeway for selecting the remaining parameters in terms of our logistical convenience.

V. Contractor and Financial Arrangements

	n DD/S&T and NPIC,			
W	ere asked to submit the only proposal as			
sole bidder and joint contractors.	They are uniquely qualified in terms of			
professional competence, personnel thoroughly familiar with our operation, and				
investigative techniques specially	adapted for our purposes. Moreover, the			
resolution simulation techniques we	re developed by and are			
proprietary in nature.	1 0			
will serve as	principal investigator representing			
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- 91	will serve as principal investigator			
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VI. Coordination

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From its inception the project has been coordinated with PID/NPIC and DD/S&T. The Office of Logistics has taken the preliminary steps necessary for contract negotiation.

A thorough survey of research and literature on the psycho-physiological aspects of the P.I. function was part of an earlier study performed for NPIC by _________in 1960. Recently, __________has reviewed current research in this field with NPIC technical personnel, and it was consequently concluded

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that other efforts are not applicable to NPIC's standard of materials -that is, based upon the quality of material now being acquired. It is also
felt that (1). techniques used to date in service-sponsored studies of photo
interpretation were inadequate in experimental design and did not yield
objective criteria; and (2). that these and other studies did not ask
questions analogous to NPIC interpretation tasks.

As a result, the contractors will from necessity collaborate closely with NPIC P.I.s and will correlate questions with the kinds of intelligence requirements presently levied on the Center. Looking to the future, projected requirements for image recognition will also be incorporated into the testing, since current intelligence requirements are most often defined to fit NPIC's present capability and not necessarily to exceed it.

short partia	As a general reference on the project, will submit a summary/evaluation of current and recent research which he judges ally relevant to this proposed undertaking either in terms of
techni	iques or findings.
VII.	Security

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STATEMENT OF WORK

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STATEMENT OF WORK

At a meeting neid during i	oo march - 2 Apri	ii, plans were for	marated for the
study of P.I. performance as a fo	nction of severa	al physical image	characteristics.
The meeting was attended by			
		representing N.P	.I.C.; and
		The proposed eff	orts described
below are the results of this mee	eting.	_	

Many physical and psychological factors affect the performance of photointerpreters. Some of these factors are listed below, more or less, in order of decreasing anticipated magnitude of the effect on photointerpretation, and/or the order in which these factors must be better understood to more intelligently design camera equipment, photointerpretation equipment and procedures.

- 1. Cround Resolution
- 2. Stereo vs. Mono
- 3. Color vs. Black and White

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- 4. Type of Stereo
 - --equal quality pairs
 - --mixed quality pairs
 - --black and white pairs
 - --color pairs
 - --color/black & white pairs
 - --angle of photography
- 5. Contrast Reduction due to Haze
- 6. Spread Function Shape
 - --symmetrical
 - --non-symmetrical
- 7. Granularity
- 8. Sun Altitude
- 9. Sun Azimuth
- 10. Obliquity

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plans were formulated for the

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- 2 -

11.	Response	Perseveration
12.		
13.		
14.	Viewing I	Equipment/Scale

- 15. Viewing Time

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- 16. Searching
- 17. Collateral Information
- 18. Scene Change Detection
- 19. Season/Terrain
- 20. Individual Differences

To thoroughly study all or a major portion of these effects at once will not only result in an experiment of tremendous magnitude but also seems unwise. Therefore, three alternative projects are proposed, each of which varies in scope in the number of variables or effects to be examined.

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ALTERNATIVE PROPOSAL #1

The objective of the study will be to determine the relation between P. I. performance and the ground resolution of photography.

The results of the study will aid in assessing the relative worth of various collection systems yielding differential ground resolution. Furthermore, the data resulting from the first study will serve as a benchmark with which the effects of such factors as stereo, mixed stereo, color, atmospheric haze, spread function shape, and granularity may be measured in subsequent studies.

The acquisition of photographic material should be planned to achieve sufficient flexibility to investigate all the aforementioned factors. To limit the photography to that required for Proposal #1 only would be a mistake.

ALTERNATIVE PROPOSAL #2

The objectives of this work are as follows:

- 1. To determine the relation between P.I. performance and ground resolution (the aforementioned benchmark).
- To assess the effects on P. I. performance of stereo image viewing as opposed to monocular viewing.
- 3. To assess the effects on P.I. performance of viewing mixed-resolution stereo pairs.

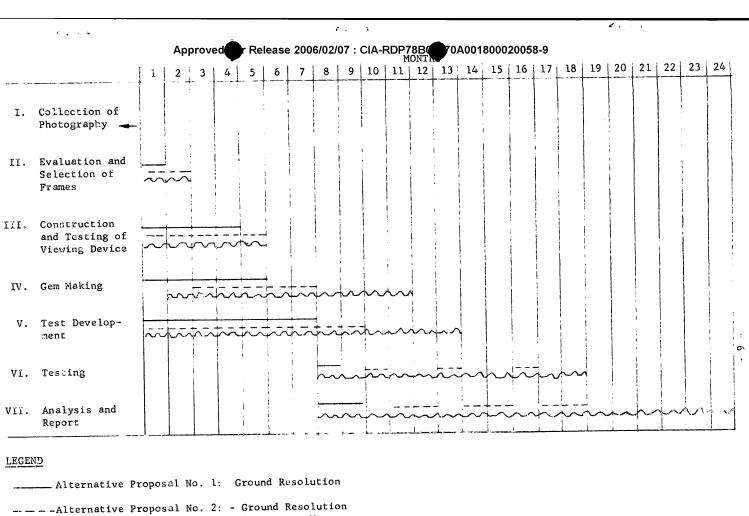
The results of this study will permit a quantitative assessment of mono versus stereo systems, as well as a comparison of two types of stereo. Likewise, the results will indicate which type of photography is best for any of the specific target-types studied.

ALTERNATIVE PROPOSAL #3

This alternative is the same as #2 with the exception that the effects of color photography on P.I. performance will be investigated. It would include investigations of P.T. performance with monocular color images, of stereo images in which both images are in color and in which one image is in color and the other is in black and white. Variations in ground resolution will be introduced for both the monocular and stereo images.

The results of the work proposed in this alternative would be useful in the ways described in Alternatives #1 and #2. In addition, they would permit the user to make decisions regarding the utility of obtaining color photography at specified resolutions.

It is felt that the sponsor should anticipate the necessity for conducting all of the studies described even though the sponsor may initially wish to conduct only a portion of them.



- Stereo vs. Mono

- Mixed Quality Stereo

Alternative Proposal No. 3: - Ground Resolution

- Stereo vs. Mono

- Mixed Quality Stereo

- Color Mono - Color Stereo

It is anticipated that the sponsor will be responsible for providing the aircraft, cameras, and original film-processing necessary for collecting the photography. In the event ground targets are required the sponsor will make the necessary arrangements. At the same time, the contractors will be responsible for the manner in which the photography is performed; the contractors will, in other words, prepare the flight plan and accompany the air crew during all of the photographic missions.

From a brief survey of targets of typical interest and of those available for photography within the U.S., it was concluded that the photography should include:

- 1. Missiles, I.C.B.M.
 - 5 Minutemen Sites
 - 5 Titan Sites
 - 5 Atlas Sites
- 2. Electronics
 - 20 Radar Dish Antennas
 - 20 Stick Mast Antennas
- 3. Military
 - 20 Air Fields
 - 5 Air Fields with Nuclear Facilities
 - 5 Submarine Sites
- 4. Storage
 - 20 Sites: Military, Ammo, BW/CW
- 5. Industry

20 Sites

6. Transporation

20 Sites: Land, Air-A/F & A/C, Sea, Ports and Harbors, Ships at Sea

7. Power

15 Sites

With proper flight path planning, it is anticipated that from a large number of film frames, 75 can be selected which contain all of the targets listed above. Likewise, GEMS with a ground resolution range of

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25X1	in 5 increments are planned for use in the study. The range of ground
•	resolution will depend on the scale of photography which is to be simulated.
. د	In each of the proposals described above, the following image characteristics
j	will be held constant:

- 1. Sale
- 2. Granularity
- 3. Spread Function Shape
- 4. Sun Altitude
- 5. Raze
- 6. Verticality of Photography.

Prior to proceeding with the viewing of CEMS by photointerpreters, sufficient pretesting will be conducted to establish sound P.I. performance measures and the validity of testing procedures.

Plans include the construction and use of a microscope stage which will readily locate the specific target areas on the CEMS to be examined by the photo-interpreters. This will eliminate excessive time consumption of searching and will improve the accuracy of results, reducing errors due to mislocating.

	The work will be conducted jointly by	25X
X1	will serve as principal	
	investigator representing vill serve as	25)
	principal investigator representing It is assumed that	25)
1	will serve on the project as a research associate. Ultimate	
	responsibility for the research will rest with	25X

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is this awartery

April 7, 1964 MW-N-9

Dear John:

We are pleased to submit our proposal for the continuation of the work described in my March 17, letter (MW-N-8). The proposal covers three alternative magnitudes of effort as described in the attached Statement of Work and Cost Breakdown. We suggest a continuation of the contractual arrangement currently contemplated. Our proposal covers only in-house work and does not include any monetary coverage for

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Regards,

Charlie

mw Attachment

CONTRACT INSPECTION REPORT TO: ENGINEERING SECTION/CB/PD/OL	07 : CIA-RÓP 8B04770A001800020058-9
то:	
	1 1 1 1 1
ENGINEERING SECTION/CB/PD/OL	DATE
ENGINEERING SECTION/CB/PD/OL	5 August 1964
	INSPECTION REPORT NO. (If final, so state) 1st and final
	estimated completion date 30 June 1964
NAME OF CONTRACTOR	
TYPE OF COMMON I	
TYPE OF COMMODI TY OR SERVICE .	
THE CONTRACTOR IS ON SCHEDULE	THE CONTRACTOR WILL PROBABLY REMAIN WITHIN ALLOCATES
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	TIONAL FUNDS INVOLVED.
HAS GOVERNMENT-OWNED PROPERTY BEEN DELIVERE (If yes, indicate items, quantity, and cost on r	everse side.)
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2. SUPERIOR - · 4. HIGHLY SATI	SFACTORY 6. BARELY ADEQUATE
IF OVERALL PERFORMANCE OF CONTRACTOR IS UNS	ATISFACTORY OR BARELY ADEQUATE, INDICATE REASONS ON
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CONTINUE AS PROGRAMMED	WITHHOLD PAYMENT PENDING
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MPIC, progra resear	primary offering during this interim contract was four-day ar held at their facility for representatives from and involved in the study on PI performance. The outstanding of lectures and discussions, to which two other member's of che staff also contributed, was extremely thorough and pertinent a project.	25 25
in gat with h	kept in exceptionally close touch with our in-house progress thering domestic photography, and we had frequent phone conversations aspects of the project.	
the ta	ation to their statement of work (for March 16 through June 30, 1964) asks outlined by "to be actively pursued" in that period evaluated as follows:	
1.	"Obtain ordered lists of targets (scene objects)." This was supplied by and involved no work on part.	
2.	"Obtain listing of availability of desired targets in the U.S." The listing was drawn up and the photographs collected by P&DS: no effort was required.	
3.	"Formulate in detail the experimental design required to achieve the project objectives." The experimental design was primary concern and, in this period, involved minor (if any) work on part.	2
25.	"Request photography of certain targets under specified conditions; and monitor this effort."	
	spent two different sessions here specifying parameters for the overflights to the appropriate people. The flights are planned for August and will be monitored by since the area selected is (nearby) on the West Cost. will plan to receive the film at and will advise on the processing there.	2 2 2
5.	"Begin preparation of GEMS." This is scheduled for September.	

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	Dear L			
	Our photography rec	quirements can be generally	summarized by:	
	 Must cov Must pro 	ve ground resolution ver a long strip of terrain ovide at least 60% overlap of able to photograph in both	f terrain between frames	25X1
	And a specific exam	mple stated as follows: (usi	ng round figures)	
~ *	3. One came 4. Since we 30μ i	use of camera with 9"x9" free era-image line-space pair at e must resolve 150,000μ on a in camera, the scale 150,000 = 5,000	: 33 1/nm = 30µ	25X1
	5. If the copera opera 6. Assuming withing suffi	:5000 is established camera has a 2-feet focal le ated at 10,000 ft. altitude g that the imagery in the ce in the 9-inch square format iciently uniform quality, a s wide can be used.	nter 7-inch square area is of sufficient and	·
*	focal length, resolved logical choice of common convenient to at 16,600 feet is available. Therefor who is familiar with particular camera/s	lving power, etc., which wou combinations would seem to b all concerned. For example suitable, but possibly anoth	er camera is more readily for me to meet with someone problems associated with, a meeting would be very	25X1
			Best regards,	25X1
	CC: J.W.C. D.N.B. F.F.		jet af fr	hory.
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6.	"Begin properation of performance measures."
	This is task and yet to be done, but not because wither contractor is behind schedule. Progress of the study was dependent upon the initial collection of available photography and the scheduling of overflights. (This was accounted for in their confined werk schedule which accompanied proposal for "Extension of Research on PI Performance" received in April 1964)
7.	"Submit a detailed statement of work, cost estimate and schedule for work to be performed during 1 July 1964 to 30 June 1965."
8. ["Data from the study recently concluded by NPIC and will be subjected to an error analysis. A report will be prepared describing the results." The report was prepared and submitted by
As these spe for the inte during the c 19 June 1964	ecific evaluations indicate, there were tasks spelled out erim contract period which will actually be performed entract. Which followed and was recentiated
೯:೧೫೬೨	
Development	Branch. P&DS

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